

COPY OF PAPERS

PATENT Attorney Docket No. 01-152

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Applic | cation of de Q. Walker and Todd A. Randazzo |) |
|--------------|---|--------------------------|
| 001111 | do q. Wallor and Fodd / l. Harloans |) Group Art Unit: 2811 |
| Serial No. | 10/035,346 |) |
| Filed: | December 28, 2001 |) Confirmation No.: 1045 |
| | |) |
| For: CMO | S VARACTOR WITH CONSTANT |) Examiner: |
| dC/d\ | V CHARACTERISTIC |) |

Response to Notice to File Missing Parts of Nonprovisional Application

BOX: MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated February 13, 2002, submitted herewith is an executed Combined Declaration and Power of Attorney, and Part 2 of the Notice. The Commissioner is hereby authorized to charge the fees due of \$870.00 (filing fee of \$740.00 and surcharge of \$130.00) to Deposit Account No. 12-2252.

Respectfully submitted,

Date: 2/1/02

L Jon Lindsay

Registration No. 36,855

ATTORNEY FOR APPLICANT

JOHN R. LEY, LLC

5299 DTC Blvd., Suite 610

Greenwood Village, Colorado 80111-3321

Telephone: (303) 740-9000 Facsimile: (303) 740-9042

BOX MISSING PARTS
ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Attorney Docket No. 01-152

Sir:

Transmitted herewith for filing in the application of:

Inventor:

John de Q. Walker and Todd A. Randazzo

Serial No.:

10/035,346

Filed

December 28, 2001

For

CMOS VARACTOR WITH CONSTANT dC/dV CHARACTERISTIC

MAR 0 5 2000

Enclosed are:

- Response to Notice to File Missing Parts of Nonprovisional Application
- Part 2 of Notice to File Missing Parts of Nonprovisional Application
- Combined Declaration and Power of Attorney (signed)
- Assignment and Recordation Form Cover Sheet
- Information Disclosure Statement and Form PTO-1449

The filing fee has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | |
|------------------------------------|---|--------|----------------|--|
| FOR: | NO. FILED | | NO. EXTRA | |
| BASIC FEE | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 1 | <i> </i> | |
| TOTAL CLAIMS | *17 | - 20 = | *0 | |
| INDEP CLAIMS | *3 | -3 = | *0 | |
| MULTIPLE DEPENDENT CLAIM PRESENTED | | | | |

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

| SMALL |
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| ENTITY |

OTHER THAN A SMALL ENTITY

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| | | _ | | |
|--------|-------|-----------|--------|-------|
| RATE | FEE | <u>OR</u> | RATE | FEE |
| ///// | \$370 | <u>OR</u> | ///// | \$740 |
| X 9 = | \$ | <u>OR</u> | X 18 = | \$ |
| x 42 = | \$ | <u>OR</u> | x 84 = | \$ |
| +140 = | \$ | <u>OR</u> | +280 = | \$ |
| | | | | |

TOTAL \$____ OR TOTAL \$740.00

- Please charge Deposit Account No. 12-2252 in the amount of \$740.00 for filing fee. A duplicate of this sheet is attached.
- Please charge Deposit Account No. 12-2252 in the amount of \$130.00 for the surcharge. A duplicate of this sheet is attached.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-2252. A duplicate copy of this sheet is enclosed.
 - Any patent application processing fees under 37 C.F.R. 1.17.
 - The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
 - Any filing fees under 37 C.F.R. 1.16 for presentation of extra claim.

Dated at Greenwood Village, Colorado, this 19th day of February, 2002.

Respectfully submitted,

L. Jon Undsay Registration No. 36,855

ATTORNEY FOR APPLICANT

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Greenwood Village, Colorado 80111-3327 Telephone: (303) 740-9000

Facsimile: (303) 740-9042



COPY OF PAPERS ORIGINALLY FILED

57

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Attorney Docket No. 01-152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
 John de Q. Walker and
 Todd A. Randazzo

Serial No. 10/035,346

Filed: December 28, 2001

For: CMOS VARACTOR WITH CONSTANT dC/dV CHARACTERISTIC

Coroup Art Unit: 2811

Confirmation No.: 1045

Examiner:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

BOX: MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached Transmittal Sheet (authorizing payment of fees due to Deposit Account No. 12-2252); Response to Notice to File Missing Parts of Nonprovisional Application; Part 2 of the Notice; Combined Declaration (signed); Assignment and Recordation Form Cover Sheet; Information Declaration Statement and Form PTO-1449; Certificate of Mailing by First Class Mail; and Return Card, relating to the above application, were deposited as "First Class Mail," with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 19th day of February, 2002.

Date

2/19/02

L. Jon Lindsay

Registration No. 36,855

ATTORNEY FOR APPLICANT

JOHN R. LEY, LLC

5299 DTC Boulevard, Suite 610

Greenwood Village, Colorado 80111-3327

Telephone: (303) 740-9000 Facsimile: (303) 740-9042

PATENT Attorney Docket No. 01-152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

John de Q. Walker and Todd A. Randazzo

Group Art Unit: 2811

Serial No.

10/035,346

Filed:

December 28, 2001

Confirmation No.: 1045

For: CMOS VARACTOR WITH CONSTANT

dC/dV CHARACTERISTIC

Examiner:

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, D.C. 20231

TC 2800 MAIL ROOM

Sir:

The recently issued Filing Receipt dated February 13, 2002, indicates Applicant Walker's name as "John Q. Walker". The correct name for Applicant Walker is "John de Q. Walker". Attached hereto is a corrected version of the Filing Receipt.

Wherefore, it is respectfully requested that Applicant Walker's name be corrected to "John de Q. Walker" and that a new filing receipt be issued to remedy this error.

Signed at Greenwood Village, Colorado this 19th day of February, 2002.

Registration No. 36,855

ATTORNEY FOR APPLICANT

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Greenwood Village, Colorado 80111-3327

Telephone: (303) 740-9000 Facsimile: (303) 740-9042





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS

10/035,346

12/28/2001

2811

0.00

01-152

IND CLAIMS

3

JOHN R. LEY, LLC 5299 DTC Boulevard, Sute 610 Greenwood Village, CO 80111-3327



CONFIRMATION NO. 1045 FILING RECEIPT

OC000000007470965

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Johnde Q. Walker

John Q. Walker, Colorado Springs, CO: Todd A. Randazzo, Colorado Springs, CO:

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

CMOS varactor with constant dC/dV characteristic

RECEIVED

Preliminary Class

257

FEB 1 9 2002

JOHN R. LEY, LLC...

LICENSE FOR FOREIGN FILING UNDER

TC 2800 MAIL ROOM



PATENT Attorney Docket No. 01-152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--|--|--|--|--|
| In re Applica | ation of de Q. Walker and Todd A. F |) Randazzo) | Group Art Unit: 281 | |
| Serial No. | 10/035,346 | , , | Group Art Offic. 20 | |
| Filed: | December 28, 2001 |) | Confirmation No.: | 1045 |
| | S VARACTOR WITH CONS CHARACTERISTIC | STANT) | Examiner: | REC MAR TC 2800 |
| , | CERTIFICATE OF MA | ILING BY FIR | ST CLASS MAIL | RECEIVED MAR I 14 2007 2800 MAIL I |
| Office of Initi | mmissioner for Patents al Patent Examination ervice Center D.C. 20231 | | | RECEIVED MAR I 14 2002 2800 MAIL ROOM |
| Sir: | | | | |
| Receipt; Cer above applic Service, add | ndersigned hereby certifies tificate of Mailing by First C ation, were deposited as "Fressed to the Assistant Cor, Washington, D.C. 20231, | lass Mail, and irst Class Mai nmissioner for | Return Card, relating I," with the United State Patents, Office of In- | to the ates Postal |
| Z//g Date | 0/02 | L. Jon Lindsa Registration I ATTORNEY | - | 0 |
| | | Greenwood \ Telephone: (| /, LLC oulevard, Suite 610 /illage, Colorado 801 (303) 740-9000 (303) 740-9042 | 11-3327 |



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspfo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/035,346

12/28/2001

John Q. Walker

01-152

CONFIRMATION NO. 1045

JOHN R. LEY, LLC

5299 DTC Boulevard, Sute 610 Greenwood Village, CO 80111-3327

MAR 0 5 2002

FORMALITIES LETTER

CC00000007470966

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- . The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE